

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and James L. Adams.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. James L. Adams*, Case No. 07-106, *James L. Adams v. Executive Branch Ethics Commission*, Case No. 08-CI-8191, Jefferson Circuit Court, Division Nine, and *James L. Adams v. Executive Branch Ethics Commission*, Case No. 2009-CA-1064, Kentucky Court of Appeals;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on June 1, 2007, the Commission alleged facts in an Initiating Order that Adams violated the Executive Branch Code of Ethics at KRS 11A.020(1)(a) and (b);

WHEREAS, Adams was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus is subject to the Executive Branch Code of Ethics; and

WHEREAS, Adams indicates his desire to resolve all issues in the two actions referenced above by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Adams agree, pursuant to KRS 11A.100, as follows:

1. Adams, while stating that he was not aware that his actions were in violation of the provisions of KRS Chapter 11A, recognizes that the factual allegations as set forth in Count I of the Commission's Initiating Order of June 1, 2007, if proven true at a hearing, could result in a determination of a violation of the Executive Branch Code of Ethics at KRS 11A.020(1)(a) and (b) as stated in the Commission's Initiating Order.
2. Adams agrees to pay the Commission a civil penalty of \$1,000 within ten days from the date this settlement agreement is executed.
3. Adams agrees that upon a Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 or any other statute.
4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. James L. Adams*, Case No. 07-106, *James L. Adams v. Executive Branch Ethics Commission*, Case No 08-CI-

8191, Jefferson Circuit Court, Division Nine, and *James L. Adams v. Executive Branch Ethics Commission*, Case No. 2009-CA-1064, Kentucky Court of Appeals.

5. This Settlement Agreement constitutes a public reprimand to Adams, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

James L. Adams

Date _____

EXECUTIVE BRANCH ETHICS COMMISSION:

Chair, Gwen Pinson

Date _____

Vice-Chair, Ronald L. Green

Date _____

Jeannie Owen-Miller

Date _____

Angela Edwards

Date _____

Vacant

Date _____